

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

LILIA PERKINS, on behalf of herself  
and all others similarly situated,

Plaintiff,

vs.

PHILIPS ORAL HEALTH CARE,  
INC., a Washington Corporation;  
PHILIPS ELECTRONICS NORTH  
AMERICA CORPORATION, a  
Delaware Corporation; and DOES 1  
through 20, inclusive,

Defendants.

CASE NO. 12-CV-1414-H  
(BGS)

**ORDER:**

**(1) GRANTING FINAL  
APPROVAL OF CLASS  
SETTLEMENT;**

**(2) APPROVING  
PLAINTIFFS' REQUEST  
FOR ATTORNEYS' FEES,  
COSTS, AND SERVICE  
AWARD**

On October 7, 2013, Plaintiff Lilia Perkins ("Plaintiff"), on behalf of herself and the provisional certified class (together "Plaintiffs"), filed a motion for final approval of class settlement and a motion for approval of attorneys' fees, costs, and service award. (Doc. Nos. 27, 28.) Defendants Philips Oral Health Care, Inc. and Philips Electronics North America Corporation ("Defendants" or "Philips") have not opposed the motions. On November 4, 2013, the Court held a hearing on the motions. Attorneys Eric Maxwell Overholt and Michael Ian Rott appeared on behalf of Plaintiff. Attorneys Brian R. England and Michael H. Steinberg appeared on behalf of Defendants. For the reasons set forth below, the Court grants the motions.

## **Background**

This case arises out of Defendants’ efforts to market their product, the AirFloss. Plaintiff alleges that Defendants falsely advertised the AirFloss as a substitute for traditional floss. (Doc. No. 4 (“FAC”) ¶ 2.)

### **A. Procedural History**

On September 20, 2012, Plaintiff filed a class action complaint against Defendants on behalf of herself and “[a]ll persons in California who purchased a Philips Sonicare Airfloss.” (FAC ¶ 29.) In her complaint, Plaintiff alleges claims of breach of express warranty, Cal. Com. Code § 2313; violations of California’s Unfair Competition Law (“UCL”), Bus. & Prof. Code § 17200; violations California’s False Advertising Law (“FAL”), Bus. & Prof. Code § 17500; and violations of the Consumer Legal Remedies Act (“CLRA”), Cal. Civ. Code § 1780. (FAC ¶¶ 43-81.) On December 7, 2012, the Court granted Defendants’ motion to dismiss the express warranty claim and the CLRA claim under Cal. Civ. Code § 1770(a)(4), but denied Defendants’ motion to dismiss the UCL, FAL, and other CLRA claims. (Doc. No. 12.) Plaintiff did not file an amended complaint within the thirty day period provided by the Court.

The parties participated in a mediation with the magistrate judge and after subsequent negotiations reached a preliminary agreement. (Doc. No. 18.) On May 28, 2013, the parties filed a joint motion for preliminary approval of class settlement. (Doc. No. 20.) On July 11, 2013, the Court granted preliminary approval of the proposed settlement. (Doc. No. 24.)

### **B. Settlement**

The settlement provides relief to a putative class generally comprising persons who, between January 1, 2011, and June 24, 2013, purchased a new AirFloss in California. (Doc. No. 27-2 (“Settlement”) at 9.) The settlement establishes three categories of claimants. Class members who submit proof of purchase of a new, two-pack AirFloss belong to Category A of Tier 1 and will receive a voucher for \$33. (*Id.* at 14.) Class members who submit proof of purchase of a new, single-pack AirFloss

1 belong to Category B of Tier 1 and will receive a voucher for \$23. (Id. at 14-15.) Class  
 2 members who fail to submit proof of purchase may submit an attestation that they  
 3 purchased a new AirFloss within the class period. Those class members belong to Tier  
 4 2 and will receive a voucher for \$7. (Id. at 15.) There is no limit on the total number  
 5 of vouchers distributed to the class in the aggregate; however, each household is  
 6 limited to two vouchers for Tier 1 class members and one voucher for Tier 2 class  
 7 members. (Id.) The vouchers are fully transferrable, but must be used within twelve  
 8 months. (Id.) The vouchers “may be used for the purchase of any new (i) Philips audio  
 9 or video products (excluding televisions), (ii) Philips Norelco shaving and grooming  
 10 products, (iii) Philips Sonicare oral care products, (iii) [sic] Philips accessories, and (iv)  
 11 [sic] Avent-branded products.” (Id. at 16.)

12 In exchange, class members, other than those who opt-out, agree to release all  
 13 claims “arising from, or in any way related to, the design, sale, marketing or advertising  
 14 of an AirFloss,” except for personal injury claims. (Id. at 23-24.)

15 Philips will be responsible for payment of all Claims Administrator Expenses  
 16 and all Notice Expenses, including the CAFA notice. (Id. at 16.)

### 17 **C. Preliminary Approval of the Settlement and Reactions of the Proposed** 18 **Class Members**

19 On May 28, 2013, following a hearing on the motion, the Court granted (1)  
 20 Plaintiff’s request for provisional certification of the class, (2) Plaintiff’s request to be  
 21 appointed as class representative, (3) Plaintiff’s request for preliminary approval of the  
 22 proposed settlement, (4) Plaintiff’s request for appointment of class counsel, and (5)  
 23 ordered the parties to disseminate notice of the settlement to class members. (Doc. No.  
 24 24.) In July of 2013, the settlement administrator began effectuating the notice plan by  
 25 sending various mailings to class members and causing the Publication Notice to be  
 26 published in various newspapers and websites. (Doc. No. 27-8 “Dahl Decl.”.) The  
 27 notice and Settlement Website provided class members with an opportunity to file a  
 28 claim for a voucher, opt out of the settlement, or object to the settlement. (Dahl Decl.

1 Ex. A-H.) The parties filed a motion proposing January 20, 2014 as the last day that  
2 class members can file claims, (Doc. No. 25 at 6), and the Court preliminarily approved  
3 that date. (Doc. No. 26 at 2.)

4 As of October 7, 2013, the administrator sent 4,044 email notices, and 78  
5 postcards. (Dahl Decl. ¶¶ 5-11.) The toll-free Settlement Information Line had received  
6 179 phone calls. (Dahl Decl. ¶ 20.) The settlement administrator targeted the web  
7 notice to internet users in California, resulting in 40,412,142 impressions. (Dahl Decl.  
8 ¶¶ 14-16.) As of October 20, 2013, class members have submitted 724 proof of claim  
9 forms to the settlement administrator. (Doc. No. 34 at 9.)

10 As of October 7, 2013, the administrator has received 36 requests from potential  
11 class members for Long Form Notice and Proof of Claim Form packets. (Dahl Decl.  
12 ¶ 23.) As of October 20, 2013, four class members have opted out, and no class  
13 members have sent objections to class counsel or the administrator. (Doc. No. 34 at 9.)  
14 October 14, 2013 was the last day for any class member to file an objection to the  
15 proposed settlement. (Id.)

### 16 Discussion

17 When the parties reach a settlement agreement prior to class certification, the  
18 court is under an obligation to “peruse the proposed compromise to ratify both the  
19 propriety of the certification and the fairness of the settlement.” Staton v. Boeing Co.,  
20 327 F.3d 938, 952 (9th Cir. 2003). Thus, the court must first assess whether a class  
21 exists, and second, determine whether the proposed settlement is fundamentally fair,  
22 adequate, and reasonable. Id.

#### 23 **I. Class Certification**

24 A plaintiff seeking to certify a class under Rule 23(b)(3) of the Federal Rules of  
25 Civil Procedure must first satisfy the requirements of Rule 23(a). Fed. R. Civ. P. 23(b).  
26 Once subsection (a) is satisfied, the purported class must then fulfill the requirements  
27 of Rule 23(b)(3). In the present case, Plaintiff seeks to certify a class for settlement  
28 purposes defined as follows:

[A]ll California residents who purchased a new AirFloss in California between January 1, 2011 and June 24, 2013. Excluded from the Settlement Class are: (1) any Person that has already obtained any refund from Philips or any retailer in connection with the AirFloss for which the Class Members seek relief in this case, (2) any Person who files a valid, timely Request for Exclusion; (3) any Person who purchased an AirFloss, but gave it away as a gift; and (4) any Judges to whom this Action is assigned and any member of their immediate families.

(Doc. No. 27-2 ¶ A-38.)

#### **A. Rule 23(a) Requirements**

Rule 23(a) establishes that one or more plaintiffs may sue on behalf of class members if all of the following requirements are met: (1) numerosity; (2) commonality; (3) typicality; and (4) adequacy of representation. Fed. R. Civ. P. 23(a).

The numerosity prerequisite is met if “the class is so numerous that joinder of all members is impracticable.” Fed. R. Civ. P. 23(a)(1). In this case, notice went out to 4,044 class members who were registered purchasers of the product in California. (Dahl Decl. ¶ 10.) This large number of class members renders joinder impractical for this case. Accordingly, the numerosity prerequisite is met in this case.

The commonality prerequisite is met if there are “questions of law or fact common to the class.” Fed. R. Civ. P. 23(a)(2). Rule 23(a)(2) is construed permissively. Hanlon v. Chrysler Corp., 150 F.3d 1011, 1019 (9th Cir. 1998). All questions of fact and law need not be common, but rather “[t]he existence of shared legal issues with divergent factual predicates is sufficient, as is a common core of salient facts coupled with disparate legal remedies within the class.” Id. Here, there are many questions of law and fact that are common to the class, such as whether Defendants falsely advertised the AirFloss product. Accordingly, the commonality prerequisite is met.

Typicality requires that “the claims or defenses of the representative parties [be] typical of the claims or defenses of the class.” Fed. R. Civ. P. 23(c). A plaintiff’s claims are “‘typical’ if they are reasonably co-extensive with those of absent class members; they need not be substantially identical.” Hanlon, 150 F.3d at 1020. Typicality requires that a representative plaintiff “possess the same interest and suffer the same injury as

1 the class members.” Gen. Tel. Co. of the Southwest v. Falcon, 457 U.S. 147, 156  
 2 (1982). Here, Plaintiff and purported class members share the same injury – purchase  
 3 of an AirFloss product based on alleged misrepresentations and false advertising. (FAC  
 4 ¶ 2.) As Plaintiff’s claims are reasonably co-extensive with the claims of absent class  
 5 members, the typicality prerequisite is met.

6 Adequacy of representation under Rule 23(a)(4) requires that the class  
 7 representative be able to “fairly and adequately protect the interests of the class.” Fed.  
 8 R. Civ. P. 23(a)(4). Representation is adequate if the named plaintiff and class counsel  
 9 (1) do not have any conflicts of interest with other class members and (2) will  
 10 prosecute the action vigorously on behalf of the class. Hanlon, 150 F.3d 1020. Here,  
 11 there does not appear to be any conflicts of interest between Plaintiff and the absent  
 12 class members. Additionally, Plaintiff has pursued the interests of the class by, among  
 13 other actions, hiring counsel to prosecute the class members’ claims. (Doc. No. 27-1  
 14 “Rott Decl.” ¶ 1.) Plaintiff’s counsel has expended time and resources in prosecuting  
 15 this action. For example, counsel “interviewed several dentists to discuss the efficacy  
 16 of oral irrigators in general and the AirFloss specifically,” reviewed customer  
 17 complaints, and interviewed consumers of the product. (Rott Decl. ¶¶ 2-3.)  
 18 Accordingly, the adequacy of representation requirement is met in this case, and thus  
 19 the Court appoints Plaintiff as class representative.

20 For the foregoing reasons, Plaintiff has met all of the requirements of Rule 23(a).

#### 21 **B. Rule 23(b)(3)**

22 Rule 23(b)(3) requires the court to find that: (1) “the questions of law or fact  
 23 common to class members predominate over any questions affecting only individual  
 24 members;” and (2) “that a class action is superior to other available methods for fairly  
 25 and efficiently adjudicating the controversy.” Fed. R. Civ. P. 23(b)(3). These  
 26 requirements are referred to as the “predominance” and “superiority” tests. See Hanlon,  
 27 150 F.3d at 1022-23; Pierce v. Cnty. of Orange, 526 F.3d 1190, 1198 n.5 (9th Cir.  
 28 2008). Rule 23(b)(3)’s predominance and superiority requirements are designed “to

1 cover cases ‘in which a class action would achieve economies of time, effort, and  
 2 expense, and promote . . . uniformity of decision as to persons similarly situated,  
 3 without sacrificing procedural fairness or bringing about other undesirable results.”  
 4 Amchem Products, Inc. v. Windsor, 521 U.S. 591, 615 (1997) (quoting Advisory  
 5 Committee’s Notes on Fed. R. Civ. P. 23, 28 U.S.C. App., p. 696-97).

### 6 **1. Predominance**

7 The predominance inquiry tests “whether proposed classes are sufficiently  
 8 cohesive to warrant adjudication by representation.” Hanlon, 150 F.3d at 1022  
 9 (quoting Amchem, 521 U.S. at 623). This analysis requires more than proof of common  
 10 issues of law and fact. Hanlon, 150 F.3d at 1022. Rather, the common questions should  
 11 “present a significant aspect of the case and . . . be resolved for all members of the class  
 12 in a single adjudication.” Id. (internal quotation omitted). Courts have found that  
 13 common factual issues involving a single advertisement seen by all class members can  
 14 predominate over individual issues. See Weeks v. Kellogg Co., No. 09-8102, 2011  
 15 U.S. Dist. LEXIS 155472 (C.D. Cal. Nov. 23, 2011) (certifying a class under Rule  
 16 23(b)(3) alleging that defendant’s marketing campaign that certain of its cereal  
 17 products can support a family’s immune system was false and misleading); cf.  
 18 Gonzalez v. P&G Co., 247 F.R.D. 616, 622-23 (S.D. Cal. 2007) (refusing to certify  
 19 consumer class action as evidence showed that defendant “employed a wide variety of  
 20 representations in its labeling, television commercials, website promotions, and other  
 21 promotions” and, thus, class members may have relied on different representations or  
 22 may not have been exposed to any of the allegedly false representations).

23 Here, the significant issue is whether Defendants misrepresented the efficacy of  
 24 the AirFloss product. Plaintiff identifies specific representations that appear on the  
 25 AirFloss package, on Defendants’ website and other internet sites, and in print media  
 26 as false or misleading. (FAC ¶¶ 5-6.) Although presented in different media, the  
 27 identified representations consistently compare the efficacy of the AirFloss to  
 28 traditional floss. (Id.) Plaintiff does not allege, nor do Defendants contend that



1 Defendants employed a wide variety of representations in marketing the AirFloss  
2 product. Thus, there has been no showing that the potential class members relied on  
3 different representations in purchasing their AirFloss products. As such, Defendants’  
4 marketing of the AirFloss is a common issue that can predominate over the individual  
5 issues in this case. Weeks, 2011 U.S. Dist. LEXIS 155472 at \*35-36. Additionally,  
6 some of the potential individual issues may not require individualized proof. See In re  
7 Tobacco II Cases, 46 Cal. 4th 298, 326 (2009) (holding that plaintiffs need not prove  
8 individual reliance under the fraudulent prong under the UCL if “there is a showing  
9 that a misrepresentation was material”). Accordingly, the Court concludes that Plaintiff  
10 has met her burden to show that the issues common to the class predominate over the  
11 individual issues. Fed. R. Civ. P. 23(b)(3).

## 12                   2.     **Superiority**

13           The superiority inquiry requires determination of “whether objectives of the  
14 particular class action procedure will be achieved in the particular case.” Hanlon, 150  
15 F.3d at 1023 (citation omitted). Notably, the class-action method is considered to be  
16 superior if “classwide litigation of common issues will reduce litigation costs and  
17 promote greater efficiency.” Valentino v. Carter-Wallace, Inc., 97 F.3d 1227, 1234 (9th  
18 Cir. 1996) (citation omitted). Here, there is no evidence that absent class members wish  
19 to pursue their claims individually. Further, classwide treatment of these issues will be  
20 efficient as the class members’ claims appear to involve a relatively small amount of  
21 damages per member. (See generally FAC.) Accordingly, the superiority requirement  
22 is met here.

23           For the foregoing reasons, Plaintiffs have satisfied the requirements of Rule  
24 23(b)(3). Accordingly, the Court grants certification to the proposed class for purposes  
25 of settlement.

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## 1    **II.    The Settlement**

2            Rule 23(e) requires the court to determine whether a proposed settlement is  
3    “fundamentally fair, adequate, and reasonable.” Staton, 327 F.3d at 959 (quoting  
4    Hanlon, 150 F.3d at 1026). To make this determination, the court must consider a  
5    number of factors, including: (1) the strength of plaintiff’s case; (2) the risk, expense,  
6    complexity, and likely duration of further litigation; (3) the risk of maintaining class  
7    action status throughout the trial; (4) the amount offered in settlement; (5) the extent  
8    of discovery completed, and the stage of the proceedings; (6) the experience and views  
9    of counsel; (7) the presence of a governmental participant; and (8) the reaction of class  
10   members to the proposed settlement. Id. In addition, the settlement may not be the  
11   product of collusion among the negotiating parties. In re Mego Fin. Corp. Sec. Litig.,  
12   213 F.3d 454, 458 (9th Cir. 2000) (citing Class Plaintiffs v. City of Seattle, 955 F.2d  
13   1268, 1290 (9th Cir. 1992)).

14            In determining whether a settlement should be approved, the Ninth Circuit has  
15   a strong policy that favors settlement, “particularly where complex class action  
16   litigation is concerned.” In re Syncor ERISA Litig., 516 F.3d 1095, 1101 (9th Cir.  
17   2008) (citing Class Plaintiffs, 955 F.2d at 1276). The Ninth Circuit favors deference  
18   to the “private consensual decision of the [settling] parties,” particularly where the  
19   parties are represented by experienced counsel and negotiation has been facilitated by  
20   a neutral party, in this instance, a magistrate judge. See Rodriguez v. West Publ’g  
21   Corp., 563 F.3d 948, 965 (9th Cir. 2009). “In reality, parties, counsel, mediators, and  
22   district judges naturally arrive at a reasonable range for settlement by considering the  
23   likelihood of a plaintiffs’ or defense verdict, the potential recovery, and the chances of  
24   obtaining it, discounted to present value.” Id.

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**A. The Strength of Plaintiff's Case, the Risk, Expense, and Likely Duration of Further Litigation, and the Risk of Maintaining Class Action Status Throughout the Trial**

Both parties have expended have already expended time, effort, and resources supporting their positions, and would continue to do so if the settlement failed to receive approval. (See Doc. No. 27 at 14-16; Rott Decl. ¶ 11.) Both parties have articulated theories of potential claims and defenses, demonstrating that they considered the uncertainty and risk of the outcome of future litigation. (Doc. No. 27 at 14-16.) These considerations led the parties to conclude that a timely settlement would be best for all involved parties. See Linney v. Cellular Alaska P'ship, 151 F.3d 1234, 1242 (9th Cir. 1998) (“[I]t is the very uncertainty of outcome in litigation and avoidance of wasteful and expensive litigation that induce consensual settlement.”). The Court agrees that the recovery through settlement confers a substantial and immediate benefit to the class that outweighs the potential recovery that could have been obtained through full litigation.

**B. The Amount Offered in Settlement**

In the present case, the proposed settlement provides class members with immediate relief in the form of vouchers for \$33.00, \$23.00, or \$7.00 good towards purchase of alternative Philips products. The Court concludes this settlement is a good result for the class. The \$23 and \$33 vouchers for class members who can provide proof of purchase represent roughly 25% of the original price those class members paid for the AirFloss. (Doc. No. 27 at 18.) Class members who cannot prove they purchased an AirFloss receive \$7 vouchers, even though they would likely receive nothing if this case went to trial. (Id.)

Moreover, the Settlement Amount is the result of arm's-length negotiation conducted by experienced counsel. “[P]arties, counsel, mediators, and district judges naturally arrive at a reasonable range for settlements by considering the likelihood of a plaintiff's or defense verdict, the potential recovery, and the chances of obtaining it,

discounted to present value.” Rodriguez, 563 F.3d at 965. Accordingly, the Court determines the amount offered in settlement weighs in favor of granting final approval of the settlement.

**C. The Extent of Discovery Completed and the Stage of Proceedings**

In the context of class action settlements, as long as the parties have sufficient information to make an informed decision about settlement, “formal discovery is not a necessary ticket to the bargaining table.” Linney, 151 F.3d at 1239 (quoting In re Chicken Antitrust Litig., 669 F.2d 228, 241 (5th Cir. 1982)).

The proposed settlement is the result of extensive settlement negotiations between counsel on both sides with extensive experience in class action litigation. (Doc. No. 27 at 17; Rott Decl. ¶ 26.) Plaintiff’s counsel reviewed public documents published by Philips and conducted their own research regarding the AirFloss with dentists and class members. (Doc. No. 27 at 17.) Thus, at the time settlement was reached, Plaintiff’s counsel felt they had a clear view on the strengths and weaknesses of their cases. (Id.) Accordingly, the Court determines that the parties investigation and subsequent settlement discussions weigh in favor of granting final approval.

**D. The Experience and Views of Counsel**

Plaintiff’s counsel has experience acting as class counsel in complex class action cases, including class actions concerning deceptive advertising. (Rott Decl. ¶ 26.) Class counsel recommends the settlement as both fair and adequate; thus this factor weighs in favor of granting final approval.

**E. The Reaction of the Class Members to the Proposed Settlement**

As of October 20, 2013, 724 claims have been made by class members. (Doc. No. 34 at 8-9.) Only four class members have requested exclusion, and no class member has filed an objection. (Id.) The absence of any objector strongly supports the fairness, reasonableness, and adequacy of the settlement. See Nat’l Rural Telecommunications Coop. v. DIRECTV, Inc., 221 F.R.D. 523, 529 (C.D. Cal. 2004) (“The absence of a single objection to the Proposed Settlement provides further support

1 for final approval of the Proposed Settlement.”); In re Austrian & German Bank  
 2 Holocaust Litig., 80 F. Supp. 2d 164, 175 (S.D.N.Y. 2000) (“If only a small number of  
 3 objections are received, that fact can be viewed as indicative of the adequacy of the  
 4 settlement.”); Boyd v. Bechtel Corp., 485 F. Supp. 610, 624 (N.D. Cal. 1979) (finding  
 5 persuasive the fact that 84% of the class had filed no opposition). Accordingly, the  
 6 overall reaction of the class members weighs in favor of granting final approval.

#### 7 **F. No Evidence of Collusion**

8 The collusion inquiry addresses the possibility the agreement is the result of  
 9 either overt misconduct by the negotiators or improper incentives of certain class  
 10 members at the expense of other members of the class. Staton, 327 F.3d at 960. In the  
 11 present case, there is no evidence of collusion.

12 The \$750 incentive award for Plaintiff Perkins does not appear to be the result  
 13 of collusion. The Court evaluates incentive awards using “relevant factors including  
 14 the actions the plaintiff has taken to protect the interests of the class, the degree to  
 15 which the class has benefitted from those actions . . . the amount of time and effort the  
 16 plaintiff expended in pursuing the litigation . . . .” Staton, 327 F.3d at 977 (quoting  
 17 Cook v. Niedert, 142 F.3d 1004, 1016 (7th Cir. 1998)). In this action, Plaintiff has  
 18 protected the interests of the class by providing documents to counsel for investigation,  
 19 attending the mediation session, and assisting counsel with other aspects of the case.  
 20 (Rott Decl. ¶ 28.) Therefore, the \$750 service award to Plaintiff appears to be  
 21 reasonable in light of her efforts in this litigation.

22 The attorneys’ fees do not appear to be the result of collusion. In fact,  
 23 Defendants’ counsel and Plaintiff’s counsel have filed additional statements of  
 24 clarification regarding the issue of fees, further analyzing the fees in the settlement  
 25 agreement. (Doc. Nos. 31, 34.) Thus, the award of attorneys’ fees does not appear to  
 26 be the result of any conclusion.

27 Accordingly, the Court determines that the settlement in this case is “fair,  
 28 reasonable, and adequate” and grants Plaintiff’s motion for final approval of the

1 settlement. Fed. R. Civ. P. 23(e)(2); Staton, 327 F.3d at 959.

### 2 **III. Attorneys' Fees and Costs for Class Counsel**

3 Pursuant to the terms of the settlement agreement "Class Counsel agrees to  
4 make, and Philips agrees not to oppose, an application for the award of Attorneys' Fees  
5 and Expenses in this Action not to exceed a total of \$114,500." (Doc. No. 28-2 ¶ I.)  
6 At this time, Plaintiff's counsel has motioned for an immediate award of attorneys' fees  
7 in the amount of \$43,266.84 and reimbursement of expenses in the amount of \$485.50.  
8 (Doc. No. 28 at 30; Doc. No. 28-1 ¶ 27.) Plaintiff's counsel further requests that the  
9 Court retain jurisdiction over the remainder of Plaintiff's counsel's fee, to be  
10 determined after class members have submitted claims. (Doc. No. 28 at 30.)<sup>1</sup>

11 Because the interests of class members and class counsel often diverge, the court  
12 must remain alert to the possibility that class counsel will "urge a class settlement at  
13 a low figure or on a less-than-optimal basis in exchange for red-carpet treatment on  
14 fees." Weinberger v. Great N. Nekoosa Corp., 925 F.2d 518, 524 (1st Cir.1991); In re  
15 Bluetooth Headset Products Liab. Litig., 654 F.3d 935, 947 (9th Cir. 2011). The Ninth  
16 Circuit has identified a number of relevant factors to determine if an award of  
17 attorneys' fees is reasonable: (1) the results achieved; (2) the risks of litigation; (3) the  
18 skill required and the quality of work; (4) the contingent nature of the fee; (5) the  
19 burdens carried by class counsel; and (6) the awards made in similar cases. See  
20 Vizcaino v. Microsoft Corp., 290 F.3d 1043, 1048-50 (9th Cir. 2002).

21 The Court concludes that the requested immediate attorneys' fee award of  
22 \$43,266.84 is reasonable. Plaintiff's counsel dedicated 137.93 hours to providing non-  
23 monetary benefits to the class, for a total lodestar of \$74,598. (Doc. No. 28 at 20.) With  
24 a 1.16 multiplier, the total adjusted fee for work performed would be \$86,533.68. (Id.)  
25 But Plaintiff's counsel requests an award of only \$43,266.84—50% of the adjusted  
26 fee—in consideration of the fact that the work was performed with two goals in mind:

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27  
28 <sup>1</sup> The last day for class members to submit claims to the claims administrator is January 20,  
2014. (Doc. No. 26 at 2.)

1 providing non-monetary benefit to the class by discouraging Philips from continuing  
2 their advertising campaign and providing compensation for the class. (Id.)

3 Additionally, Plaintiff's counsel has demonstrated that they incurred expenses  
4 in the aggregate amount of \$485.50 in recoverable costs and costs "reasonably  
5 necessary to conduct the litigation." Cal. Civ. P. Code § 1033.5(c). Class counsel  
6 submitted a declaration setting forth the particulars of the expenses and costs incurred.  
7 (Rott Decl. ¶ 27.) The Court concludes that \$485.50 to be paid by Philips separate from  
8 the attorneys' fees and class recovery is reasonable to compensate class counsel for  
9 expenses incurred.

10 In sum, the Court concludes that an award of attorneys' fees in the amount of  
11 \$43,266.84 plus a contingency to be determined once class members have submitted  
12 claims, and litigation costs in the amount of \$485.50 is reasonable and warranted in this  
13 case. Accordingly, the Court grants Plaintiff's request for attorneys' fees and costs, and  
14 retains jurisdiction over the remainder of Plaintiff's counsel's fee until the Court holds  
15 a hearing regarding contingency fees on the vouchers.

#### 16 **IV. Service Award for Class Representative**

17 Finally, the \$750 service award for Plaintiff Lilia Perkins is also reasonable.  
18 "The criteria courts may consider in determining whether to make an incentive award  
19 include: 1) the risk to the class representative in commencing suit, both financial and  
20 otherwise; 2) the notoriety and personal difficulties encountered by the class  
21 representative; 3) the amount of time and effort spent by the class representative; 4) the  
22 duration of the litigation and; 5) the personal benefit . . . enjoyed by the class  
23 representative as a result of the litigation." Van Vranken v. Atlantic Richfield Co., 901  
24 F. Supp. 294, 299 (N.D. Cal. 1995).

25 In the present case, all the above factors weigh in favor of approving the service  
26 award requested. This award will not diminish recovery for the Class in any way. (Rott  
27 Decl. ¶ 28.) Plaintiff has protected the interests of the class by engaging in  
28 investigation, attending a settlement conference, and assisting counsel with other

1 aspects of the case. (Rott Decl. ¶ 28.) The Ninth Circuit has regularly approved service  
 2 awards greater than \$750. See, e.g., Staton, 327 F.3d at 976 (listing service awards  
 3 ranging from \$2,000 to \$5,000). Finally, no class members have objected to Plaintiff's  
 4 intent to seek a service award of \$750. Accordingly, the Court finds the service award  
 5 to be reasonable and grants Plaintiff's motion for a service award.

### 6 Conclusion

7 For the reasons stated, the Court:

8 1. Reaffirms its prior order certifying the class and appointing class counsel  
 9 and class representative. (Doc. No. 24.)

10 2. The Court reaffirms the following certified Settlement Class:

11 [A]ll California residents who purchased a new AirFloss in  
 12 California between January 1, 2011 and June 24, 2013. Excluded  
 13 from the Settlement Class are: (1) any Person that has already  
 14 obtained any refund from Philips or any retailer in connection with  
 15 the AirFloss for which the Class Members seek relief in this case, (2)  
 any Person who files a valid, timely Request for Exclusion; (3) any  
 16 Person who purchased an AirFloss, but gave it away as a gift; and (4)  
 any Judges to whom this Action is assigned and any member of their  
 immediate families.

17 3. The Court concludes that the class notice fully satisfied the requirements  
 18 of Rule 23(c)(2) of the Federal Rules of Civil Procedure and all due process  
 requirements.

19 4. The Court finally approves the settlement as set forth in the Settlement  
 20 Agreement, pursuant to Rule 23 of the Federal Rules of Civil Procedure and all  
 21 applicable state laws. The Court directs the parties and the Settlement Administrator  
 22 to perform in accordance with the terms set forth in the Settlement Agreement.

23 5. The Court grants the request for an award of attorneys' fees and costs and  
 24 awards Class Counsel immediate attorneys' fees in the amount of \$43,266.84 and  
 25 retains jurisdiction over the determination of the remainder of the Class Counsel's fee,  
 26 to be determined at a hearing on **Tuesday, February 18, 2014 at 10:30 a.m.** On or  
 27 before **Tuesday, January 21, 2014**, Class Counsel must file a motion for contingency  
 28 fees. On or before **Tuesday, February 4, 2014**, Defendants may file an opposition to



1 Class Counsel's motion. On or before **Tuesday, February 11, 2014**, Class Counsel  
2 may file a reply.

3 The Court awards litigation costs in the amount of \$485.50, to be paid according  
4 to the terms of the Settlement Agreement and any further orders from the Court.

5 6. The Court grants the request for a service award of \$750 to Plaintiff Lilia  
6 Perkins for her service on behalf of the Settlement Class.

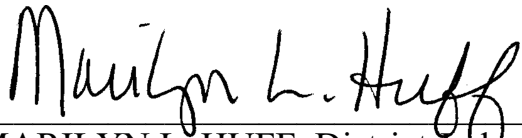
7 7. This Order is not to be construed or used as an admission, concession, or  
8 declaration by or against Defendants of any finding of fault, wrongdoing, or liability.

9 8. The Court enters judgment dismissing with prejudice all claims asserted  
10 by Representative Plaintiff and the Class members in this Action.

11 9. The Court retains jurisdiction over this action for the purposes of  
12 supervising the implementation of the Settlement Agreement and determining the  
13 remaining attorneys' fees, if any.

14 **IT IS SO ORDERED.**

15 DATED: November 6, 2013

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18 MARILYN L. HUFF, District Judge  
19 UNITED STATES DISTRICT COURT  
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